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PATENT TRADEMARK OFFICE

Docket No.: 5408/16324 US2

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TC 1700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Joseph B. WILLIAMS and Kenneth S. GEICK

Serial No.: 09/970,137

Filed: October 2, 2001

For: MELT PROCESSING ADDITIVES FOR EXTRUSION OF POLYMERS

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B.W.LEE  
Name (Print)

B.W.Lee  
Signature

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of  
Patents and Trademarks  
Washington, DC 20231

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Sir:

In order to comply with 37 CFR 1.97 and 1.98, attached hereto is a copy of Form PTO-1449 and copies of the documents listed thereon.

In accordance with MPEP Sections 609 and 707.05(b), it is requested that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and

that it be cited of record in the prosecution history of the present application by initialing Form PTO-1449 next to the document. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

The undersigned is also enclosing herewith a copy of a Search Report issued February 28, 2001 for the PCT counterpart of the present patent application (Application No. PCT/US00/30986), in which the presently disclosed references were cited. Since a translation of the Search Report is also enclosed, or the Search Report utilizes conventional codes to characterize each cited reference, it is believed that the applicants in the above-identified patent application have now met the "concise explanation" requirement of 37 C.F.R. 1.98.

This submission is filed within three months of the filing of the application.

The present Information Disclosure Statement is being submitted in compliance with 37 CFR 1.56, but the citation of such document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner, in the normal course of

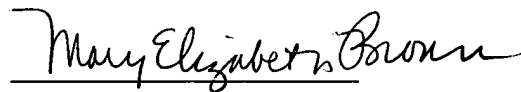
examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104(a) and 1.106(b) and, in the course of each search, will review for relevance every document cited on the attached form even if not initialed.

It is believed that no fee is due. However, if the Commissioner determines that a fee is due, the Commissioner is hereby authorized to charge the above deposit account for any deficiency.

Early and favorable consideration is earnestly solicited.

Respectfully submitted,

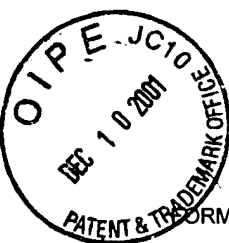
Dated: December 6, 2001



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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

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SHEET 1 OF 1  
(REV. 7-80)  
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**LIST OF REFERENCES CITED BY APPLICANT**  
(Use Several Sheets if Necessary)

DOCKET NO.: 5408/1G324US2      SERIAL NO: 09/970,137  
APPLICANT: WILLIAMS et al.      FILING DATE: October 2, 2001

**U.S. PATENT DOCUMENTS**

<u>*EXAMINER INITIALS</u>	<u>DOCUMENT NUMBER</u>	<u>DATE</u>	<u>NAME</u>	<u>CLASS</u>	<u>SUBCLASS</u>	<u>FILING DATE</u>
1.	4,481,324	11/06/84	Hall et al.	524	312	02/25/83
2.	4,855,360	08/08/89	Duchesne et al.	525	187	04/15/88
3.	5,015,693	05/14/91	Duchesne et al.	525	187	05/23/89
4.	5,534,572	07/09/96	Taylor et al.	524	275	07/06/95
5.	5,550,193	08/27/96	Chiu et al.	525	199	12/07/94
6.	5,587,429	12/24/96	Priester	525	187	04/04/95
7.	5,854,352	12/29/98	Chisholm et al.	525	199	02/21/97
8.	6,107,454	08/22/00	Schmieg et al.	528	487	08/06/97
9.	6,124,428	09/26/00	Schmieg et al.	528	487	08/06/97

**FOREIGN PATENT DOCUMENTS**

<u>*EXAMINER INITIALS</u>	<u>DOCUMENT NUMBER</u>	<u>DATE</u>	<u>COUNTRY</u>	<u>CLASS</u>	<u>SUBCLASS</u>	<u>TRANSLATION</u> <u>YES</u> <u>NO</u>
10	1 404 544	09/03/75	Great Britain	C08L	23/02	
11.	10251461A	09/22/98	Japan	B29C43/24		(English language abstract)
12.	55017054B	12/14/77	Japan	C08K005/10		(English language abstract)

**OTHER REFERENCES**

**(INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)**

13. T.J. Blong et al., "Effect of Anti-Block/Processing Aid Combinations on LLDPE Blown Film Extrusion," ANTEC 89: 1336-1338 (1989)

\*EXAMINER INITIALS

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EXAMINER: \_\_\_\_\_ DATE CONSIDERED: \_\_\_\_\_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.